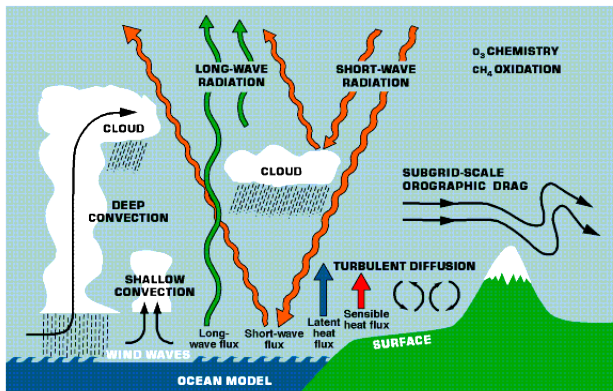


Numerical Weather Prediction: Model physics



Steven Cavallo

Model Physics

Recall that we can write a general form of the governing equations as:

$$\underbrace{\frac{d\phi}{dt}}_{\text{"Dynamics"}} = \underbrace{F_\phi}_{\text{"Physics"}} \quad (1)$$

where ϕ is any scalar. In meteorology, ϕ can be u , v , w , θ , and mass.

Methods used to solve the left-hand side of (1) are usually considered the model “dynamics” while solving the right-hand side of (1) is considered the model “physics.”

Model Physics

Previously, we discussed how the dynamics can be broken into a time tendency and advection term, which can be represented on a grid by finite differencing. Using the Leapfrog method, for the 1-D advection case, we have:

$$\frac{\phi^{k+1} - \phi^{k-1}}{2\Delta t} = -u \left(\frac{\phi_{i+1}^k - \phi_{i-1}^k}{2\Delta x} \right) + F_{\phi}^k.$$

The above illustrates that ϕ can be integrated by combining *tendencies* into one term called $f(\phi^k)$:

$$\begin{aligned} \frac{\phi^{k+1} - \phi^{k-1}}{2\Delta t} &= f(\phi^k) \\ \Rightarrow \phi^{k+1} &= \phi^{k-1} + 2\Delta t f(\phi^k). \end{aligned} \tag{2}$$

Model Physics

Let's apply this to the thermodynamic equation. Letting $\phi = \theta$:

$$\theta^{k+1} = \theta^{k-1} + 2\Delta t f(\theta^k). \quad (3)$$

What is $f(\theta^k)$?

Model Physics

Let's apply this to the thermodynamic equation. Letting $\phi = \theta$:

$$\theta^{k+1} = \theta^{k-1} + 2\Delta t f(\theta^k). \quad (3)$$

What is $f(\theta^k)$?

$$f(\theta^k) = \text{Advection tendency} + \text{Physics tendencies}. \quad (4)$$

What about the u and v momentum equations?

Model Physics

Let's apply this to the thermodynamic equation. Letting $\phi = \theta$:

$$\theta^{k+1} = \theta^{k-1} + 2\Delta t f(\theta^k). \quad (3)$$

What is $f(\theta^k)$?

$$f(\theta^k) = \text{Advection tendency} + \text{Physics tendencies}. \quad (4)$$

What about the u and v momentum equations?

$$f(u^k) = \text{Advection tendency} + \text{Pressure gradient tendency} + \\ \text{Coriolis tendency} + \text{friction tendency}. \quad (5)$$

Model Physics

Now let's step back and focus on the thermodynamic equation. This is the equation where most of the “model physics” is computed. In it's most general form:

$$\frac{d\theta}{dt} = F_{\theta}. \quad (6)$$

The right-hand side are the diabatic forcings, or external forcings that can create or destroy θ .

Recall that these are the **exact** forcings that can create or destroy **potential vorticity**.

Model Physics

Now let's step back and focus on the thermodynamic equation. This is the equation where most of the “model physics” is computed. In it's most general form:

$$\frac{d\theta}{dt} = F_{\theta}. \quad (6)$$

The right-hand side are the diabatic forcings, or external forcings that can create or destroy θ .

Recall that these are the **exact** forcings that can create or destroy **potential vorticity**.

The physical forcings that we account for today are those due to radiative processes, phase changes (latent heating), convection, planetary boundary layer (pbl), and explicit mixing:

$$F_{\theta} = \theta_{t,radiation} + \theta_{t,latent\ heating} + \theta_{t,convection} + \theta_{t,pbl} + \theta_{t,mixing}. \quad (7)$$

Model Physics

In a numerical model, each of these forcings are predicted *independently* in a separate programming *subroutine* based on our current understanding of how the particular physical processes occur.

In the end, a single tendency is passed back into the main programming module. The final model integration step looks something like this:

$$\begin{aligned} f(\theta^k) &= \text{Advection tendency} + F_\theta \\ &= \theta_{t,\text{advection}} + \theta_{t,\text{radiation}} + \theta_{t,\text{latent heating}} + \theta_{t,\text{convection}} + \theta_{t,\text{pbl}} + \theta_{t,\text{mixing}} \end{aligned}$$

Model Physics

In a numerical model, each of these forcings are predicted *independently* in a separate programming *subroutine* based on our current understanding of how the particular physical processes occur.

In the end, a single tendency is passed back into the main programming module. The final model integration step looks something like this:

$$\begin{aligned} f(\theta^k) &= \text{Advection tendency} + F_\theta \\ &= \theta_{t,\text{advection}} + \theta_{t,\text{radiation}} + \theta_{t,\text{latent heating}} + \theta_{t,\text{convection}} + \theta_{t,\text{pbl}} + \theta_{t,\text{mixing}} \end{aligned}$$

and so the numerical integration step using a Leapfrog time scheme would look like

$$\begin{aligned} \theta^{k+1} &= \theta^{k-1} + 2\Delta t \left(f(\theta^k) \right) \\ &= \theta^{k-1} + 2\Delta t \left(\theta_{t,\text{advection}} + \theta_{t,\text{radiation}} + \theta_{t,\text{latent heating}} + \right. \\ &\quad \left. \theta_{t,\text{convection}} + \theta_{t,\text{pbl}} + \theta_{t,\text{mixing}} \right) \end{aligned} \tag{8}$$

Model Physics

Let's look at an example: The Advanced Research Weather Research and Forecasting (WRF ARW) model:

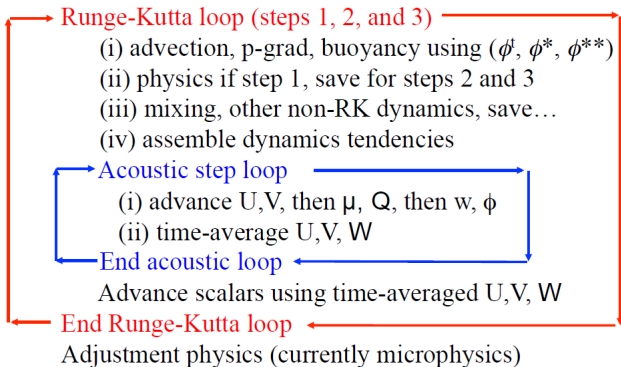
- Grid point model; Equations are fully compressible, non-hydrostatic or hydrostatic, with scalar conservation.
- Terrain following hydrostatic pressure vertical coordinate system.
- Developed and maintained at the National Center for Atmospheric Research (NCAR) for research purposes.
- Free to download, free user support.
- User can define any domain, resolution, physics, time step, boundary conditions, initial conditions, etc.
- Time integration uses a Runge-Kutta scheme that is 3rd order accurate:

$$\begin{aligned}\phi^* &= \phi^k + \frac{\Delta t}{3} f(\phi^k) \\ \phi^{**} &= \phi^k + \frac{\Delta t}{2} f(\phi^*) \\ \phi^{k+1} &= \phi^k + \Delta t f(\phi^{**})\end{aligned}$$

Model Physics

WRF model computational flowchart:

Begin time step

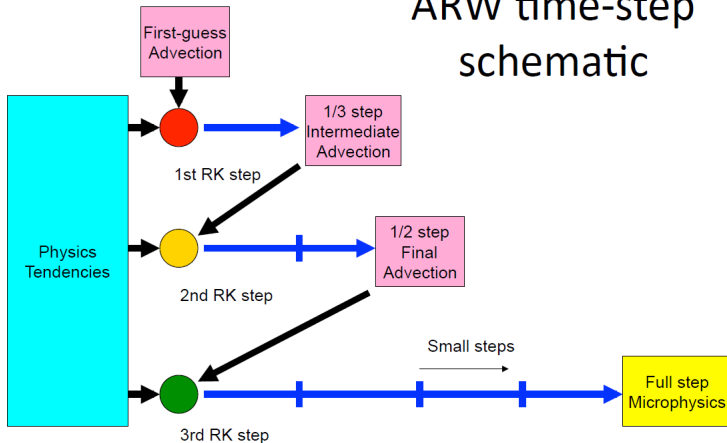


End time step

Model Physics

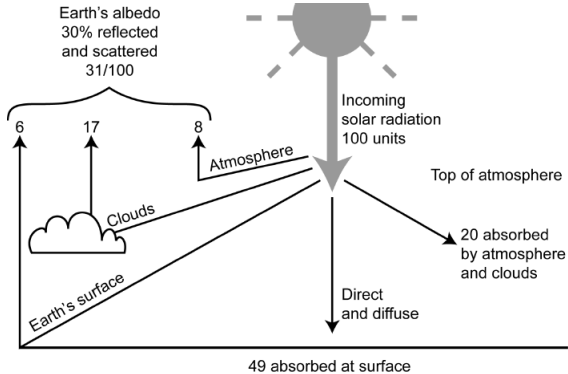
WRF model computational flowchart schematic:

ARW time-step schematic



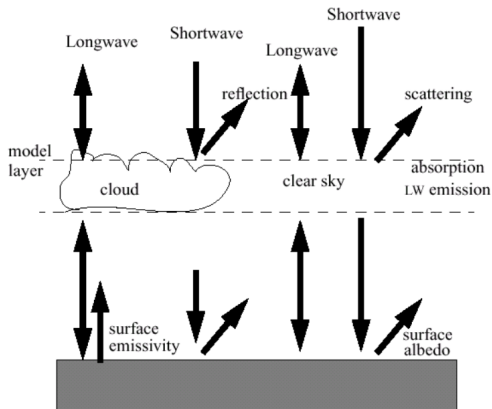
Model Physics

Shortwave radiation



Model Physics

Shortwave + longwave radiation



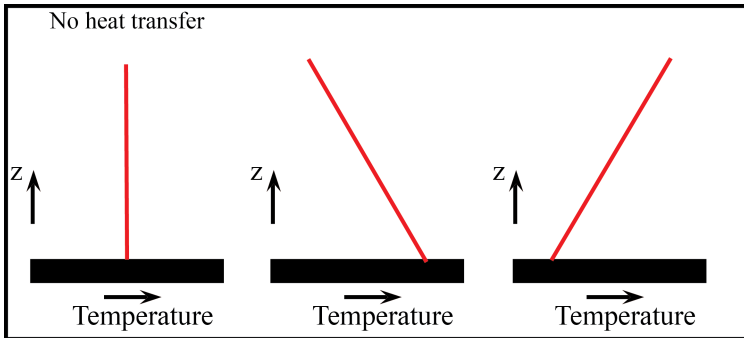
Model Physics

Shortwave and longwave radiation

Shortwave (SW)	Longwave (LW)
Generally warms atmosphere	Generally cools atmosphere
Depends on latitude, time of year, time of day	Depends on temperature (Stefan-Boltzmann = σT^4)
Strongly absorbed by surface, weakly absorbed by atmosphere	Strongly emitted at surface, strong emittance by atmosphere
Function of albedo	No dependence on albedo
Strongly absorbed by ozone	Weakly emitted by ozone
Weakly absorbed by water vapor	Strongly emitted by water vapor
Depends on carbon dioxide	Depends on carbon dioxide

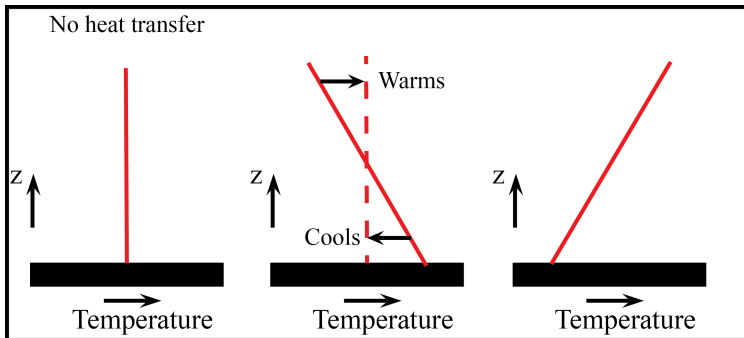
Model Physics

Surface heat fluxes



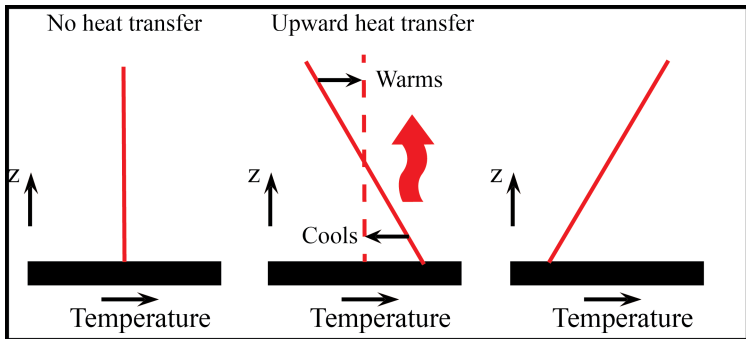
Model Physics

Surface heat fluxes



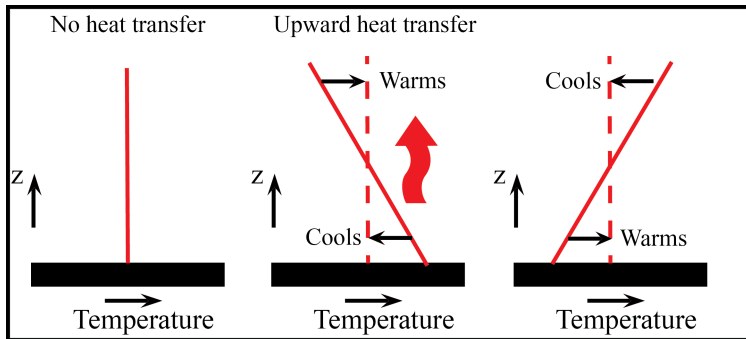
Model Physics

Surface heat fluxes



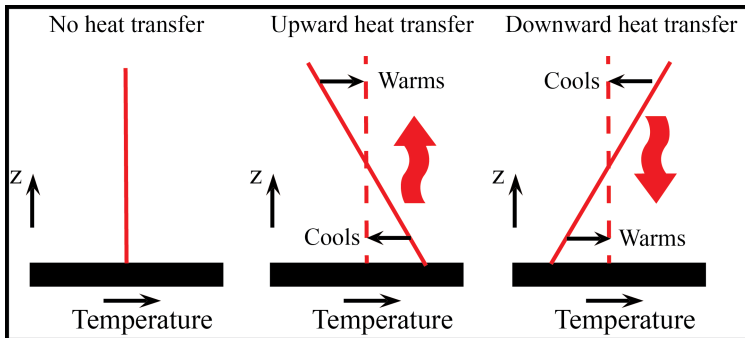
Model Physics

Surface heat fluxes



Model Physics

Surface heat fluxes



Model Physics

Between atmospheric layers, flow is controlled by the equations of motion. However, the surface is a boundary. That is, **we must parameterize the communication of energy between the atmosphere and surface**. Based on the previous diagram, formulas have been developed to estimate heat, and moisture exchange between the surface and atmosphere:

$$H_S = \rho c_p C_H U_1 (T_0 - T_1) \quad (9)$$

$$H_L = \rho L_v C_H U_1 (q_0 - q_1) . \quad (10)$$

H_S : Sensible heat flux

H_L : Latent heat flux

c_p, L_v : Specific heat of dry air const. p, latent heat of vaporization

C_H, ρ : Transfer coefficient, air density

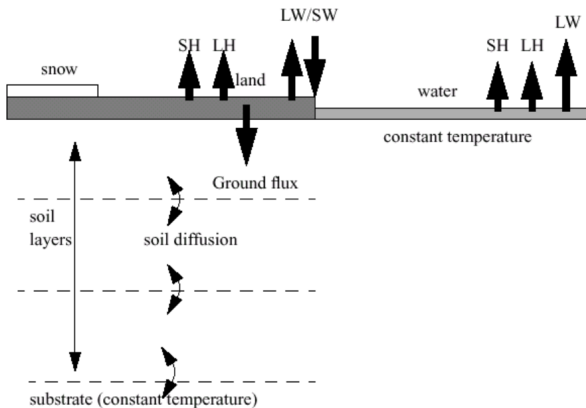
U, T, q : Wind, temperature, mixing ratio

0,1 subscripts : 2 meter and 10 meter model levels

Sign convention: Positive (negative) \Rightarrow upward (downward) flux

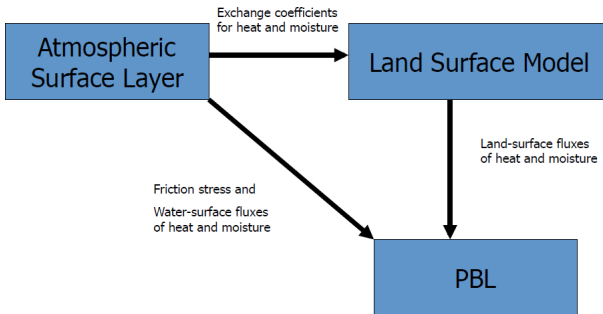
Model Physics

Surface physics



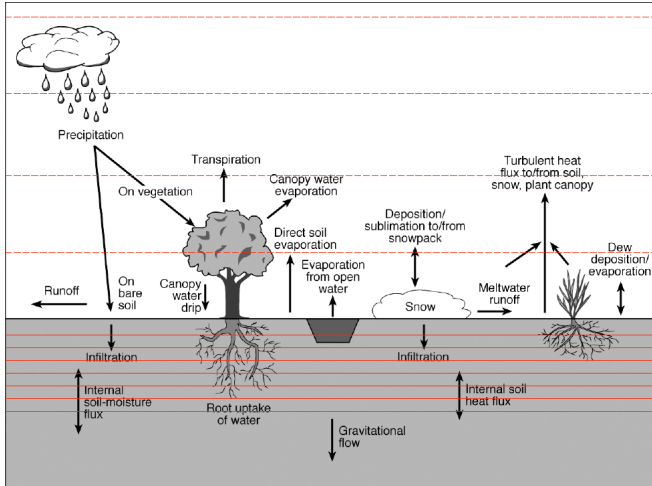
Model Physics

How surface physics gets into atmosphere



Model Physics

Processes accounted for in Land Surface Models



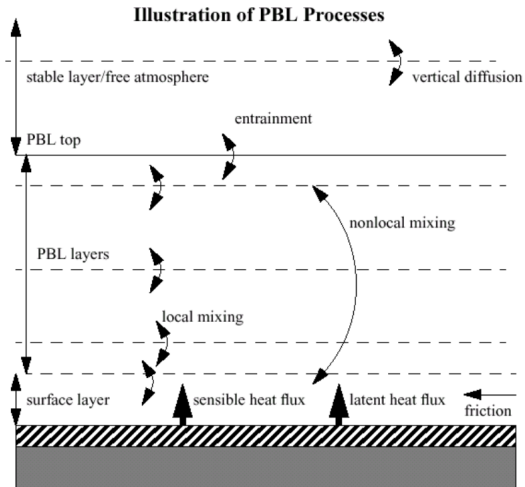
Model Physics

Processes in Land Surface Models:

- Evapotranspiration, root zone, leaf effects
- Seasonally varying vegetation fraction
- Vegetation categories (e.g. cropland, forest types, etc.), soil categories (e.g. sandy, clay, etc.)
- Fractional snow and ice cover, frozen soil water, melting, runoff
- Sea surface temperature, sea ice, vegetation fraction can be updated during simulation (boundary conditions)
- Urban effects can be included (e.g. buildings, heating and A/C effects, etc.)

Model Physics

Planetary boundary layer (PBL)



Model Physics

Planetary boundary layer (PBL)

- Distribute surface fluxes with boundary layer eddy fluxes.

Model Physics

Planetary boundary layer (PBL)

- Distribute surface fluxes with boundary layer eddy fluxes.
- Schemes have an impact above PBL via vertical diffusion:
 - PBL scheme can build up vertical gradients, which may not be sustainable in a model.
 - Vertical diffusion acts to reduce vertical gradients.

Model Physics

Planetary boundary layer (PBL)

- Distribute surface fluxes with boundary layer eddy fluxes.
- Schemes have an impact above PBL via vertical diffusion:
 - PBL scheme can build up vertical gradients, which may not be sustainable in a model.
 - Vertical diffusion acts to reduce vertical gradients.
- Schemes can be used for most model grids wherever there are surface fluxes.
- Schemes assume that PBL eddies are not resolved.

Model Physics

Planetary boundary layer (PBL)

- Distribute surface fluxes with boundary layer eddy fluxes.
- Schemes have an impact above PBL via vertical diffusion:
 - PBL scheme can build up vertical gradients, which may not be sustainable in a model.
 - Vertical diffusion acts to reduce vertical gradients.
- Schemes can be used for most model grids wherever there are surface fluxes.
- Schemes assume that PBL eddies are not resolved.
 - Assumptions break down if $\Delta x \ll 1$ km.
 - When $\Delta x \ll 1$ km, model diffusion does the job since the processes are resolved.

Model Physics

Convection

- When clouds and moisture occur at scales that are smaller than the model grid spacing allows, then a cumulus parameterization must be used.

Model Physics

Convection

- When clouds and moisture occur at scales that are smaller than the model grid spacing allows, then a cumulus parameterization must be used.
- Types of convection: Deep, moist, shallow, slant-wise

Model Physics

Convection

- When clouds and moisture occur at scales that are smaller than the model grid spacing allows, then a cumulus parameterization must be used.
- Types of convection: Deep, moist, shallow, slant-wise
- 2 categories of convection: Deep and shallow
 - Deep \Rightarrow over 3-km deep, precipitating
 - Shallow \Rightarrow less than 3-km deep, non-precipitating

Model Physics

Convection

- When clouds and moisture occur at scales that are smaller than the model grid spacing allows, then a cumulus parameterization must be used.
- Types of convection: Deep, moist, shallow, slant-wise
- 2 categories of convection: Deep and shallow
 - Deep \Rightarrow over 3-km deep, precipitating
 - Shallow \Rightarrow less than 3-km deep, non-precipitating
- Schemes have to determine:
 - When to trigger a convective column
 - How fast to make the convection act

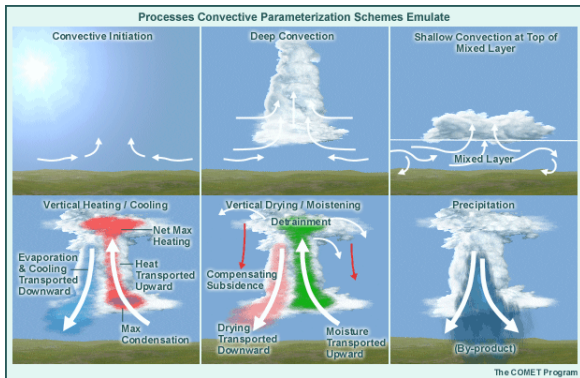
Model Physics

Convection

- When clouds and moisture occur at scales that are smaller than the model grid spacing allows, then a cumulus parameterization must be used.
- Types of convection: Deep, moist, shallow, slant-wise
- 2 categories of convection: Deep and shallow
 - Deep \Rightarrow over 3-km deep, precipitating
 - Shallow \Rightarrow less than 3-km deep, non-precipitating
- Schemes have to determine:
 - When to trigger a convective column
 - How fast to make the convection act
- When triggered, scheme will:
 - Activate the grid point as a convective column
 - Compute and pass a heating tendency and a moisture tendency to the right-hand sides of the thermodynamic and water vapor equations.

Model Physics

Model physics: Convection



Model Physics

Convection

- There are 2 classes of cumulus schemes:
 - Adjustment type—Also called a static scheme. Determines what the atmospheric state needs to be after convection and moves toward that state over some time period.
 - Mass-flux type—Also called a dynamic scheme. Evaluates mass flux based on updrafts and downdrafts.

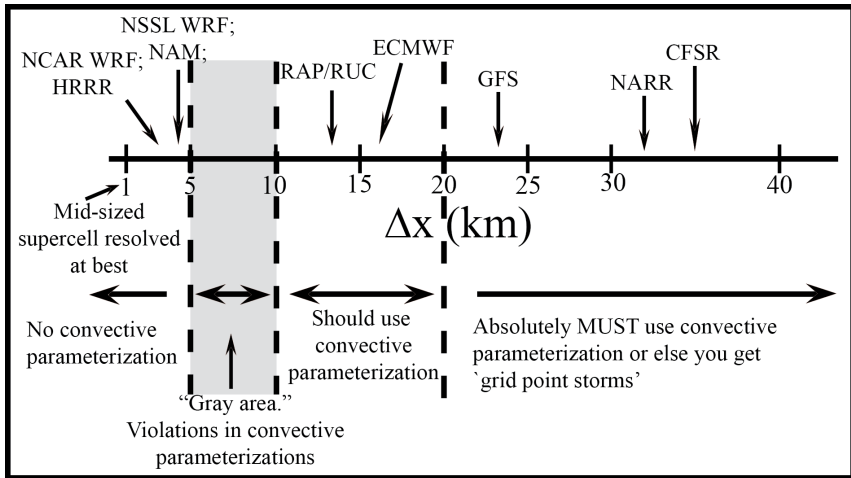
Model Physics

Convection

- There are 2 classes of cumulus schemes:
 - Adjustment type—Also called a static scheme. Determines what the atmospheric state needs to be after convection and moves toward that state over some time period.
 - Mass-flux type—Also called a dynamic scheme. Evaluates mass flux based on updrafts and downdrafts.
- ALL cumulus schemes have a “trigger” function that determines when to initiate convection at a grid point. Criteria are:
 - Presence of CAPE
 - Not too much convective inhibition (CIN) (cap strength)
 - Minimum cloud depth from parcel ascent

Model Physics

Convection



Model Physics

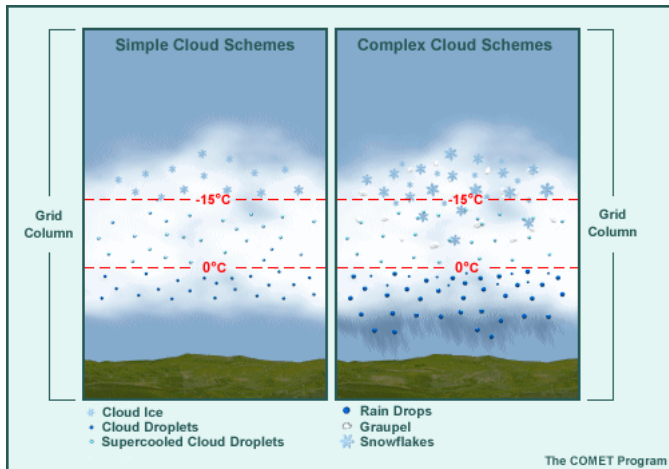
Latent heating (microphysics)

- When model grid spacing is small enough to resolve clouds, then it is handled by a microphysics scheme.
- Water substance in a sample of air may be represented by eight mixing ratios:

q_v	:	Water vapor
q_c	:	Cloud liquid water
q_d	:	Drizzle
q_r	:	Rainwater
q_l	:	Cloud ice
q_s	:	Snow
q_g	:	Graupel
q_h	:	Hail

Model Physics

Latent heating (microphysics)



Model Physics

Many microphysics scheme predict based on the bulk continuity model, meaning water substance is conserved.

The most simple model is a warm cloud ($T > 0^{\circ}\text{C}$), where there is only condensation ($C > 0$) and evaporation ($C < 0$):

$$\begin{aligned}\frac{dq_v}{dt} &= -C \\ \frac{dq_c}{dt} &= C\end{aligned}$$

Model Physics

For a warm, precipitating cloud, the following can occur:

C_c : Condensation of cloud water

E_c : Evaporation of cloud water

E_r : Evaporation of rain water

A_c : Autoconversion¹ of cloud water

K_c : Collection of cloud water

F_r : Sedimentation of raindrops

¹Rate at which cloud water content decreases as particles grow to precipitation size by coalescence and/or vapor diffusion.

Model Physics

For a warm, precipitating cloud, the following can occur:

C_c : Condensation of cloud water

E_c : Evaporation of cloud water

E_r : Evaporation of rain water

A_c : Autoconversion¹ of cloud water

K_c : Collection of cloud water

F_r : Sedimentation of raindrops

¹Rate at which cloud water content decreases as particles grow to precipitation size by coalescence and/or vapor diffusion.

Then the model becomes:

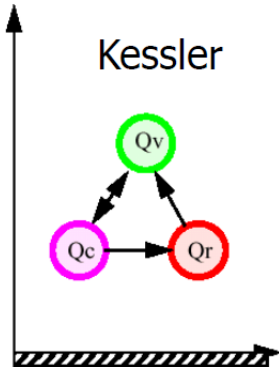
$$\frac{dq_v}{dt} = -C_c + E_c + E_r$$

$$\frac{dq_c}{dt} = +C_c - E_c - A_c - K_c$$

$$\frac{dq_r}{dt} = -E_r + A_c + K_c + F_r$$

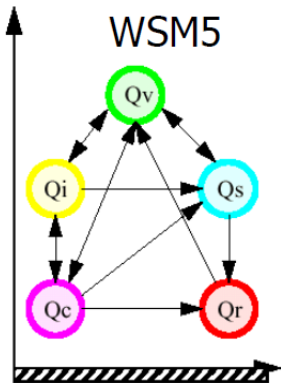
Model Physics

Since there were 3 “classes” for which water substance could be classified, the above example would be considered a **3-class** microphysics scheme.



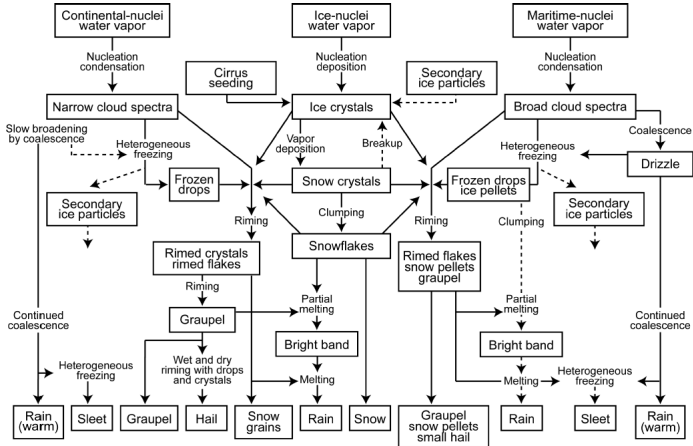
Model Physics

When including cold clouds ($T < 0^{\circ}\text{C}$), an equation for cloud ice and snow must be added, making it a **5-class** microphysics scheme.



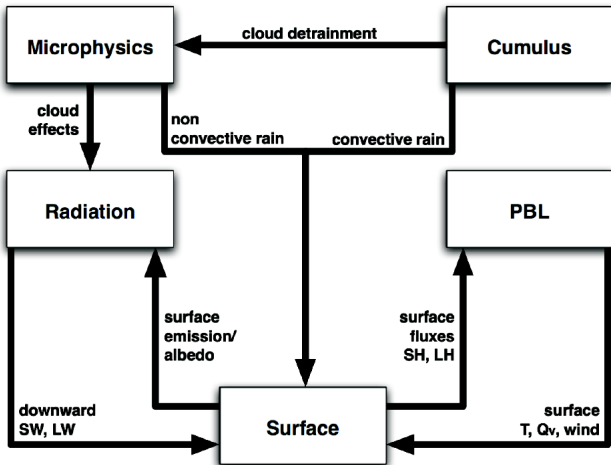
Model Physics

Of course, you don't *really* want to know everything that is considered in a microphysics scheme:



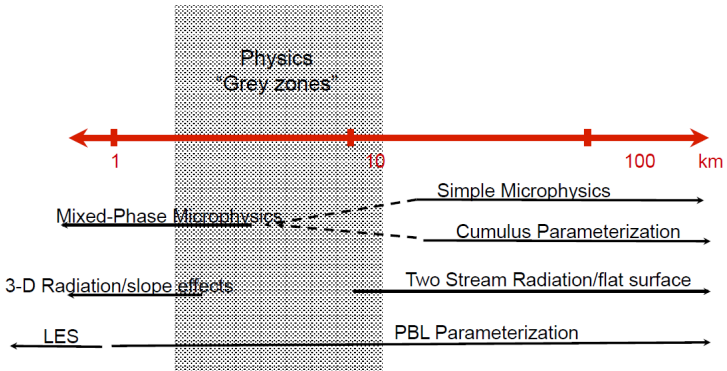
Model Physics

Combined, the physics schemes interact, which can be summarized like below:



Model Physics

When using, running, or creating a new model, ALWAYS keep in mind the scales that a physics parameterization is designed for:



Important points and questions for review

- What are 4 different physical processes that are parameterized in a numerical model?
- Suppose you are a forecaster, trying to forecast what the high temperature will be in your forecast area. You have two numerical models to use for forecast guidance. Both models show that it will remain sunny and clear, and that there will be no temperature advection. However, one model shows that a deep layer of higher relative humidities will move over the forecast area while the other does not. How will the forecast high temperatures differ between the 2 models and why?
- Hudson Bay, located in northern Canada, is unfrozen in November but completely freezes over by January. How would a model's surface heat and moisture fluxes vary between November and January over Hudson Bay?
- What horizontal grid spacing does your model need to have in order to **NOT** use a cumulus parameterization? Where are convective processes handled in a model when you do not use a cumulus parameterization?
- Name 8 different classes of water substance that may be represented in a microphysical parameterization.

